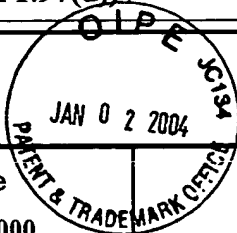


3621 \$

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d))

Docket No.  
14051

In Re Application Of: **Kazue Sako**



Serial No.  
09/710,306

Filing Date  
November 9, 2000

Examiner  
Kambiz Abdi

Group Art Unit  
3621

Title: **ELECTRONIC REVENUE STAMP ISSUING APPARATUS, A METHOD THEREOF  
AND RECORDING MEDIUM STORED CONTROL PROGRAM THEREOF**

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Address to:  
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

☒ A check in the amount of **\$180.00** is attached.

☐ Charge Deposit Account No. **19-1013/SSMP**

in the amount of

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **December 30, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

\*This certificate may only be used if paying by deposit account.

Signature

Paul J. Esatto, Jr.

Registration No. 30,749

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

Garden City, NY 11530

(516) 742-4343

PJE:AVS:jap

Dated: **December 30, 2003**

cc:



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Kazue Sako

**Examiner:** Kambiz Abdi

**Serial No.:** 09/710,306

**Art Unit:** 3621

**Filed:** November 9, 2000

**Docket:** 14051

**For:** ELECTRONIC REVENUE STAMP  
ISSUING APPARATUS, A METHOD  
THEREOF AND RECORDING MEDIUM  
STORED CONTROL PROGRAM THEREOF

**Dated:** December 30, 2003

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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**STATEMENT PURSUANT**  
**TO 37 C.F.R. § 1.97(d) and (e)(1)**

Sir:

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 30, 2003.

Dated: December 30, 2003

  
\_\_\_\_\_  
Paul J. Esatto, Jr.

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.  
Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:AVS:jap



1/14/04  
PAH  
#11

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Kazue Sako

**Examiner:** Kambiz Abdi

**Serial No.:** 09/710,306

**Art Unit:** 3621

**Filed:** November 9, 2000

**Docket:** 14051

**For:** ELECTRONIC REVENUE STAMP  
ISSUING APPARATUS, A METHOD  
THEREOF AND RECORDING MEDIUM  
STORED CONTROL PROGRAM THEREOF

**Dated:** December 30, 2003

Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

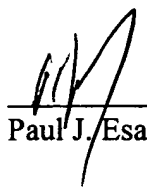
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. 11-203371, dated July 30, 1999;
2. Japanese Unexamined Patent Application No. 10-171887, dated June 26, 1998;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 30, 2003.

Dated: December 30, 2003

  
Paul J. Esatto, Jr.

3. Japanese Unexamined Patent Application No. 63-280370, dated November 17, 1988;

The references listed above were cited in an Official Action dated September 30, 2003 received from the Japanese Patent Office. Applicant is submitting copies of references 1-3, together with an English translation of the Examiner's comments regarding the references from the Official Action. Please note that reference Shimbo, Atsushi, et al., "Design of Electronic Revenue Stamp System" cited in the Official Action was previously submitted in applicant's Information Disclosure Statement dated November 9, 2000. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(d), the petition fee and statement are enclosed.

Respectfully submitted,




Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:AVS:jap

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	<b>Docket Number (Optional)</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">14051</div>	<b>Application Number</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">09/710,306</div>
	<b>Applicant(s)</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">Kazue Sako</div>	
	<b>Filing Date</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">November 9, 2000</div>	<b>Group Art Unit</b> <div style="border: 1px solid black; padding: 2px; display: inline-block;">3621</div>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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GROUP 3600

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		11-203371	7/30/1999	Japan				
		10-171887	6/26/1998	Japan				
		63-280370	11/17/1988	Japan				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.